

ANSA Consortium Status

Andrew Herbert
13th October 1997



APM Changes

- **Carrie Story and Billy Gibson have left APM**
- **Andrew Herbert runs the research group**
 - **ANSA, ESPRIT and DTI projects**
- **Rob van der Linden runs the consulting group**
- **Chris Phoenix runs the product group (Digitivity)**



ANSA Contracts

- **APM was proposing a new contract structure**
- **Prompted by sponsor concerns the proposals have been withdrawn**
- **Stay with existing contracts**
- **Tidy up loose ends**



ANSA Management

- **Andrew is acting as Programme Director and Chief Architect**
- **Goals**
 - **Exciting research programme**
 - **Value for money**
 - **Structured technology transfer**
 - **Better audit trail**
 - **Less ambiguity**



Goals for this meeting

- **Mutual understanding of**
 - **consortium status**
 - **contracts**
 - **rights and obligations**
 - **fees**
 - **staffing**
 - **processes**
 - **outstanding issues**



Contracts

- **Phase III Sponsorship Agreement**
 - binds sponsors together
- **Phase III Programme Management and Execution Agreement**
 - places execution of Phase III with APM Ltd
- **Contract Amendment 2**
 - minor revisions



Sponsorship Agreement

- **Coordinator**
- **Management & Technical committees**
- **Costs and fees**
- **Responsibilities and obligations**
- **IPR and benefits**
- **Confidentiality**
- **Admitting new sponsors**
- **Duration and termination**
- **Disputes**



Coordinator

- **Formerly Nortel**
- **Liaison between sponsors**
- **Admission of new sponsors**
- **Supervision of Project Management and Execution Agreement**
 - **auditing ANSA accounts**
 - **monitoring technology transfer**
- **Separate agenda item on this**



Mgt Committee

- **Establish core laboratory**
- **Direct finance, strategy, priorities**
- **Ownership and protection of results**
- **Approve work plan**
- **Appoint PD, CA, MC Chair, Coordinator**
- **Approve new sponsors**
- **Set exploitation dates, license fees**
- **Operational procedures**



Technical Committee

- **Forum for technical advice to MC**
 - **planning, priority, strategy, create work plan, appointment/replacement of Chief Architect**
- **Set requirements for ANSA**
- **Review and approve deliverables**
- **Identify opportunities for workshops**



Costs and fees

- **Fees set by MC**
 - traditionally fees cover overheaded hours and travel
- **Equipment by loan / donation**
- **Invoice monthly**
 - Interest and termination of benefits if >60 days late
- **Secondee rate set by MC**



IPR and benefits

- **Shared ownership**
- **MC sets release policy**
- **Licensing to third parties to be approved by MC**
- **Equal rights to exploitation**
- **No sponsor shall act in a manner to reduce the value of sponsorship to the others**



Programme Mgt Agreement

- **Scope of work**
 - PD, CA
- **Remuneration and terms of payment**
- **Reporting and accounts**
 - independent audit
- **Property and equipment**
- **IPR**
 - APM discloses all results to sponsors
 - APM has right to licence, subject to MC approval
- **Safeguarding IPR**



More

- **Secondments**
 - reimbursement set by MC
- **Liability**
- **Duration**
- **Termination**
 - APM fails to meet obligations - 60 days to recover
 - APM changes status
 - Sponsors and APM jointly agree
 - APM can “evict” a sponsor if supported by MC and coordinator



<i>Sponsor</i>	<i>Status</i>	<i>Payments</i>
APM	Current	Up-to-date
BT	Will leave in Feb 98 if issues not addressed	Last paid Feb 97 - in process
DRA	Current	Last paid Feb 97 - in process
France Telecom	Current, committed through to 98	Paid to Nov 97
Fujitsu Laboratories	Current, committed through to 98	Last paid Jun 97 - in process
GEC	Current	Last paid in May 97 - in process
GPT	Current	Up-to-date
ICL	Current	Last paid Jan 97 - in process



Officers

- **MC Chair - Bill O'Riordan (ICL)**
- **TC Chair - Bill O'Riordan**
 - Martin French no longer available
 - Peter Linington?
- **Andrew Herbert as PD & CA**
 - Yvonne Peat as administrative assistant
 - Richard Hayton and Will Harwood as technical leaders



Fees

- **Separate agenda item**
- **Bottom line is £45K**




OMG

- **In 1988 MC agreed ANSA should have a formal relationship with OMG**
- **Mike Eyre negotiated APM membership of OMG in return for Observer rights to OMG officers at ANSA TC**
- **Propose to continue with Richard Soley and Andrew Watson as nominated officers**



Universities

- **Newcastle** 
 - transactions, reflection
- **Cambridge** 
 - ATM, operating systems, distributed databases
- **Kent**
 - ODP modelling, multi-media
- **Southampton**
 - meta data
- **Lancaster**
 - multi-media



Fujitsu

- **Fujitsu has a contract with APM Ltd which ties FJ to the ANSA agreements “as if it were a sponsor”**
- **Countersigned by then coordinating sponsor**
- **MC at the time agreed because of difficulties for FJ in entering into open-ended collaboration**
- **MC minutes don't record the discussion or formal agreement**
- **BT has reservations that Fujitsu may not be on equal terms to other sponsors**



Resolution

- **Review concerns with BT**
 - check rights and obligations of “standard” sponsors vis a vis Fujitsu
- **Review issues with Fujitsu**
 - investigate possibility of moving to standard agreement
- **Proposal to next MC**



Publication

- **By default everything is consortium confidential**
- **CA has right to release for use in “standards”**
- **Has made ANSA invisible, but if we publish openly what’s the benefit of sponsorship?**
- **No explicit patenting policy**



Publication Proposal

- **Code (and supporting documents) remain confidential for**
 - 6 months
 - immediately available to ESPRIT partners on project use only license
 - then put in public domain, unless MC says otherwise
- **Architectural Documents remain confidential for**
 - 6 months
 - immediately available to ESPRIT partners
- **Timely publication in technical journals**
- **Patents?**
 - Who pays? Who benefits?

